



IT-Security

Chapter 2: Symmetric Encryption

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Overview

• Introduction

- ▶ Intuition
- ▶ Formal definition
- ▶ Historic examples

• Computational Security

- ▶ Attacker models
 - Knowledge
 - Goal
 - Strategy

• Perfect Secrecy

- ▶ Definition
- ▶ Shannon's theorem
- ▶ One-time-pad

• Practical Schemes

- ▶ Stream ciphers
- ▶ Block ciphers
- ▶ Modes of encryption

What is an encryption scheme

Can a cipher be perfectly secure?

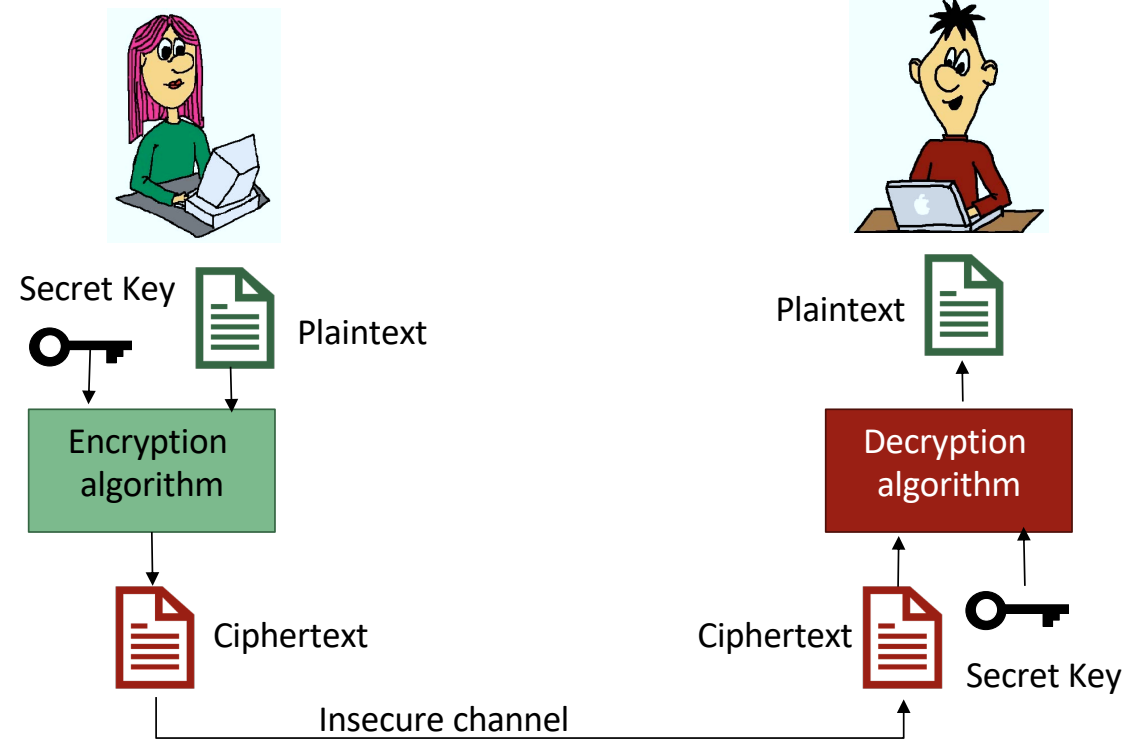


How can we model attackers?

How do modern ciphers work and how are they used?

Intuition on Symmetric Ciphers

- Alice wants to send a **confidential** plaintext to Bob
- Alice and Bob **share** a **secret key**
- Alice uses the key to **encrypt** plaintext to ciphertext
- Bob uses the key to **decrypt** ciphertext to plaintext
- Decryption is **"difficult"** without the key



Formal Definition of Encryption Scheme

- An encryption scheme is a five-tuple $(\mathcal{P}, \mathcal{C}, \mathcal{K}, \mathcal{E}, \mathcal{D})$ consisting of
 - ▶ The plaintext space \mathcal{P} of **plaintexts** (e.g., $\mathcal{P} = \{0,1\}^n$ for some $n \in \mathbb{N}$)
 - ▶ The cipher space \mathcal{C} of **ciphertexts** (e.g., $\mathcal{C} = \{0,1\}^m$ for some $m \in \mathbb{N}$)
 - ▶ A key space \mathcal{K} of **keys** (e.g., $\mathcal{K} = \{0,1\}^k$ for some $k \in \mathbb{N}$)
 - ▶ A family $\mathcal{E} = \{E_K: K \in \mathcal{K}\}$ of functions $E_K: \mathcal{P} \rightarrow \mathcal{C}$ called **encryption functions**
 - ▶ A family $\mathcal{D} = \{D_K: K \in \mathcal{K}\}$ of functions $D_K: \mathcal{C} \rightarrow \mathcal{P}$ called **decryption functions**
- Such that for any $K_1 \in \mathcal{K}$ there is a $K_2 \in \mathcal{K}$ such that
 - ▶ For all $P \in \mathcal{P}$ it holds that $D_{K_2}(E_{K_1}(P)) = P$
- In a **symmetric encryption** scheme the encryption and decryption keys are the same
- Note that this definition does not cover any notion of security yet

Kerckhoff's Principle 1883

A cryptosystem should be secure even if everything about the system, **except the key**, is public knowledge



- **In contrast:**

- ▶ Keeping the design of a cryptosystem secret is often referred to as **“security by obscurity”**

Example Caesar Cipher

- **The cipher**

- ▶ Plaintext space = ciphertext space = {A,..., Z}, Key space = {1,...,25}
- ▶ Replace each plaintext letter with the one **k** letters after it. E.g., for $k = 4$

Plaintext	A	B	C	D	E	F	G	H	I	J	K	L	M
Ciphertext	E	F	G	H	I	J	K	L	M	N	O	P	Q

- **Security of the Caesar cipher**

Plaintext	N	O	P	Q	R	S	T	U	V	W	X	Y	Z
Ciphertext	R	S	T	U	V	W	X	Y	Z	A	B	C	D

- ▶ Assume a message has been encrypted letter by letter using the Cesar cipher
- ▶ Try out each of the 25 keys and check if the resulting plaintext makes sense
 - Requires **recognizable plaintext**
- ▶ **The key space is too small!**

 **A secure cipher requires a large key space**

Brute Force Attack on the Caesar Cipher

Plaintext	A	B	C	D	E	F	G	H	I	J	K	L	M
Ciphertext	E	F	G	H	I	J	K	L	M	N	O	P	Q

Plaintext	N	O	P	Q	R	S	T	U	V	W	X	Y	Z
Ciphertext	R	S	T	U	V	W	X	Y	Z	A	B	C	D



k=1? WIGYVMXC
 k=2? VHFXULWB
 k=3? UGEWTKVA
 k=4? TFDVSJUY
 k=5? SECURITY
 ... RDBTQHSX

- If the message is **short, multiple keys** may lead to **sense making plaintexts**
- If the message is long enough, on **average** key found after $\frac{1}{2} |\mathcal{K}|$ tries
- Brute force attacks are also known as **exhaustive search attacks**

Monoalphabetic Substitution Cipher

- **Idea**

- ▶ Replace each plaintext letter with one specific other letter according to a substitution table
- ▶ Plaintext space = ciphertext space = {A,...Z}
- ▶ Key space = **all permutations** of the letters A,..., Z
- ▶ Size of the key space: $|\mathcal{K}| = 26! = 4.0329146 \cdot 10^{26}$

- **Example**

Plaintext	A	B	C	D	E	F	G	H	I	J	K	L	M
Ciphertext	D	H	C	E	Z	W	V	S	J	M	L	O	Q
Plaintext	N	O	P	Q	R	S	T	U	V	W	X	Y	Z
Ciphertext	P	A	F	K	G	N	B	R	T	Y	I	X	U

- **Trying out each possible key is quite time consuming!**

Exhaustive Search for Monoalphabetic Ciphers

- **Let's assume we**

- ▶ Can decrypt 5 characters per ms
- ▶ Need to decrypt 100 characters to be sure we found the right key

Difficulty of exhaustive search depends on

- ▶ size of key space
- ▶ resources of attacker

- **Then we will on average need $\frac{1}{2} \cdot \frac{100}{5} \cdot |\mathcal{K}| = \frac{1}{2} \cdot 20 \cdot |\mathcal{K}|$ ms to find the right key**

- ▶ That is $10 \cdot 4.0329146 \cdot 10^{26}$ ms = $4.0329146 \cdot 10^{27}$ ms = $4.0329146 \cdot 10^{24}$ s = $6.7215243 \cdot 10^{22}$ min
= $1.2788288 \cdot 10^{17}$ years

- **Let's assume we**

- ▶ Can decrypt 500 000 characters per ms and still need to decrypt 100 characters in order to be sure

- **Then we will on average need $\frac{1}{2} \cdot \frac{100}{500\,000} \cdot |\mathcal{K}| = \frac{1}{2} \cdot \frac{1}{5\,000} \cdot |\mathcal{K}|$ ms to find the right key**

- ▶ That is $10^{-4} \cdot 4.0329146 \cdot 10^{26}$ ms = $4.0329146 \cdot 10^{22}$ ms = $4.0329146 \cdot 10^{19}$ s = $6.7215243 \cdot 10^{17}$ min
= $1.2788288 \cdot 10^{12}$ years

Example Letter Frequencies

- For any given language and text basis one can determine the relative letter frequencies

Letter	ENG	GER	Letter	ENG	GER	Letter	ENG	GER
A	8.167%	6.516%	J	0.153%	0.268%	S	6.327%	7.270%
B	1.492%	1.886%	K	0.772%	1.417%	T	9.056%	6.154%
C	2.782%	2.732%	L	4.025%	3.437%	U	2.758%	4.166%
D	4.253%	5.076%	M	2.406%	2.534%	V	0.978%	0.846%
E	12.702%	16.396%	N	6.749%	9.776%	W	2.360%	1.921%
F	2.228%	1.656%	O	7.507%	2.594%	X	0.150%	0.034%
G	2.015%	3.009%	P	1.929%	0.670%	Y	1.974%	0.039%
H	6.094%	4.577%	Q	0.095%	0.018%	Z	0.074%	1.134%
I	6.966%	6.550%	R	5.987%	7.003%			


Top 5 letters in English texts

Letter	ENG
E	12.702%
T	9.056%
A	8.167%
O	7.507%
I	6.966%

- Other useful frequencies include, Bigrams, double letters, etc.

Frequency Analysis

- Can be used to
 - ▶ Break any cipher that **preserves frequencies**
 - As long as enough ciphertext is available that has been produced by the same key
- E.g., Monoalphabetic Substitution Ciphers can be broken this way

 A large key space is necessary but does not guarantee a secure cipher



So, how can we get a secure cipher and what does secure mean anyway

Frequency Analysis

- ▶ Given a (long) ciphertext in a known language
- ▶ Count the frequency of each letter occurring in the ciphertext
- ▶ Replace them according to their frequency in the natural language
- ▶ Check if the resulting plaintext makes sense

Example Frequency Analysis on Monoalphabetic Substitution Cipher

- Ciphertext C

- ▶ JW XAR DGZ FDGDPAJE XAR HZOJZTZ BSDB D TZGX ZTJO DBBDCLZG JN ARB BA VZB XAR
- ▶ JW XAR DGZ FDGDPAJE XAR HZOJZTZ BSDB D TZGX ZTJO DBBDCLZG JN ARB BA VZB XAR
- ▶ I? ?O? A?E ?A?A?OI? ?O? ?E?IE?E T?AT A ?E?? E?I? ATTA??E? I? O?T TO ?ET ?O?

Top 5
E
T
A
O
I

Letter in C	Z	B	D	A	J	G	C	L	X	R	W	F	P	E	D	T	O	N	V	H
Frequency	8	7	7	6	5															
Replace with	E	T	A	O	I	R	C	K	Y	U	F	P	N	D	H	V	L	S	G	B

- ▶ I? YOU ARE ?ARA?OI? YOU ?E?IE?E T?AT A ?ERY E?I? ATTACKER I? OUT TO ?ET YOU
- ▶ IF YOU ARE PARANOID YOU BELIEVE THAT A VERY EVIL ATTACKER IS OUT TO GET YOU

- Gives us 20 letters for which the mapping is known, i.e. 76,9% of the key

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- ▶ Shannon's theorem
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Perfect Secrecy

- **Idea of Shannon**

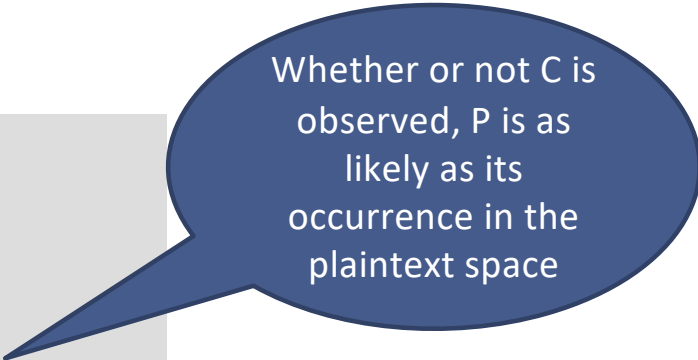
- ▶ A ciphertext should not reveal any new information on the plaintext

Definition:

An encryption scheme is said to provide **perfect secrecy** if

Given a probability distribution \Pr on \mathcal{P} , and $\Pr(P) > 0$ for all plaintexts P

For each $P \in \mathcal{P}, C \in \mathcal{C}$ and $K \in \mathcal{K}$ chosen uniformly at random **$\Pr(P|C) = \Pr(P)$**



Whether or not C is observed, P is as likely as its occurrence in the plaintext space

- **This implies: $|\mathcal{K}| \geq |\mathcal{C}| \geq |\mathcal{P}|$ for a perfectly secure encryption scheme**

- ▶ $|\mathcal{C}| \geq |\mathcal{P}|$ holds for any encryption scheme as the encryption functions need to be injective
- ▶ If $|\mathcal{K}| < |\mathcal{C}|$ would hold, then for any $P \in \mathcal{P}, \{E_k(P) \mid k \in \mathcal{K}\} \neq \mathcal{C}$, i.e., there is a $C \in \mathcal{C}$ that does not occur as ciphertext of P such that $\Pr(P|C) = 0$ for this C
- ▶ As we assume $\Pr(P) > 0$, this contradicts the perfect forward secrecy

Equivalent Formulations for Perfect secrecy

Definition:

Given a probability distribution \Pr on \mathcal{P} , and $\Pr(P) > 0$ for all plaintexts P

An encryption scheme is said to provide **perfect secrecy** if

For each $P \in \mathcal{P}, C \in \mathcal{C}$ and $K \in \mathcal{K}$ chosen uniformly at random

$$\Pr(P|C) = \Pr(P)$$

Equivalent

1. $\Pr(C|P) = \Pr(C)$
2. $\Pr(C|P_1) = \Pr(C|P_2)$

Proof of 1.:

“ \Leftarrow ”: Assume $\Pr(C|P) = \Pr(C)$, then $\frac{\Pr(C|P)\Pr(P)}{\Pr(C)} = \Pr(P)$

as $\Pr(C|P)\Pr(P) = \Pr(P|C)\Pr(C)$ it follows that $\Pr(P)$

$$= \Pr(P|C)$$

“ \Rightarrow ”: Symmetrical argument

Equivalent Formulations for Perfect secrecy

Definition:

Given a probability distribution \Pr on \mathcal{P} , and $\Pr(P) > 0$ for all plaintexts P

An encryption scheme is said to provide **perfect secrecy** if

For each $P \in \mathcal{P}, C \in \mathcal{C}$ and $K \in \mathcal{K}$ chosen uniformly at random

$$\Pr(P|C) = \Pr(P)$$

Equivalent

1. $\Pr(C|P) = \Pr(C)$
2. $\Pr(C|P_1) = \Pr(C|P_2)$

Proof of 2.:

“ \Rightarrow ”: Follows directly from 1.

If $\Pr(C|P) = \Pr(C)$ for any $P \in \mathcal{P}, C \in \mathcal{C}$

then $\Pr(C|P_1) = \Pr(C|P_2)$ for any $P_1, P_2 \in \mathcal{P}, C \in \mathcal{C}$

Proof of 2.:

“ \Leftarrow ”: If $\Pr(C|P_1) = \Pr(C|P_2) = x$ for any $P_1, P_2 \in \mathcal{P}, C \in \mathcal{C}$, then

$$\Pr(C) = \sum_P \Pr(C|P) \Pr(P) = x \sum_P \Pr(P) = x = \Pr(C|P)$$

Shannon's Theorem 1949

Shannon's Theorem:

Let $|\mathcal{P}| = |\mathcal{C}| = |\mathcal{K}|$, and $\Pr(P) > 0$ for all plaintexts P .

Then an encryption scheme provides **perfect secrecy** \Leftrightarrow

1. **K chosen uniformly at random** for each plaintext to encrypt and
2. **for each $P \in \mathcal{P}$ and $C \in \mathcal{C}$ there is exactly one $K \in \mathcal{K}$ with $E_K(P) = C$**

**A cipher providing perfect secrecy cannot be broken by an attacker.
Not even by one with infinite computational resources and infinite time**

Proof Sketch for Shannon's Theorem

Proof

" \Rightarrow " Assume encryption scheme is perfectly secure

- ▶ Let $P \in \mathcal{P}$ and assume there is a $C \in \mathcal{C}$ such that there is no K with $E_K(P) = C$,
- ▶ then $\Pr(P|C) = 0$ and thus $\Pr(P) \neq \Pr(P|C)$ which contradicts the perfect secrecy.
- ▶ Consequently, there must be at least one K such that $E_K(P) = C$. As there are as many keys as ciphertexts, there must be exactly one such K for each P and C .
- ▶ If K was not chosen uniformly, then given C , there would be some plaintexts that is more likely, than others. This again contradicts the perfect secrecy.

" \Leftarrow " Assume each key is equally likely and for each P , C and there is exactly one K such that $E_K(P) = C$.

- ▶ Then, $\Pr(C|P) = \frac{1}{|\mathcal{K}|}$ such that for any C and P_1, P_2 it holds that $\Pr(C|P_1) = \Pr(C|P_2) = \frac{1}{|\mathcal{K}|}$, such that the second equivalent definition of perfect secrecy holds

The One-Time-Pad (OTP)

- Plaintext space, ciphertext space, key space

 - ▶ $\mathcal{P} = \mathcal{C} = \mathcal{K} = \{0,1\}^n$ for some $n \in \mathbb{N}$,

- Key Generation:

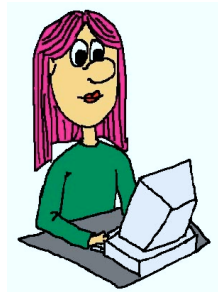
 - ▶ Pick $K \in \mathcal{K}$ **uniformly at random** for each $P \in \mathcal{P}$ to encrypt

- Encryption:

$$C = P \oplus K$$

- Decryption

$$C \oplus K = P \oplus K \oplus K = P$$



$$\begin{array}{r} P = 10111101 \\ \oplus \\ K = 00110010 \\ \parallel \\ C = 10001111 \end{array}$$



$$\begin{array}{r} C = 10001111 \\ \oplus \\ K = 00110010 \\ \parallel \\ P = 10111101 \end{array}$$



Also Known as
Vernam Cipher or
Vernam's one-time-pad

Perfect Secrecy of the One-Time-Pad

Theorem:

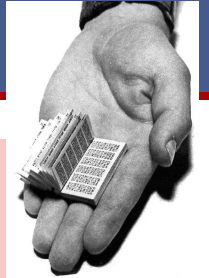
The One-Time-Pad provides perfect secrecy

Proof:

- ▶ Follows directly from Shannon's Theorem:
 - As $|\mathcal{P}| = |\mathcal{C}| = |\mathcal{K}|$ per definition of the OTP, we can apply Shannon's Theorem
 - Key is selected uniformly at random in one-time pad \Rightarrow each key is equally likely
 - Given any pair C, P of ciphertext and plaintext there is a key K that encrypts P to C , namely $K = P \oplus C$:

$$E_K(P) = P \oplus K = P \oplus (P \oplus C) = C$$

Properties of the One-Time-Pad



Advantages

- **Easy to compute**
 - ▶ Encryption and decryption are the same operation
 - ▶ Bitwise XOR is very cheap to compute
- **As secure as theoretically possible**
 - ▶ Given a ciphertext, all plaintexts are equally likely
 - ▶ Security independent on the attacker's computational resources

Disadvantages

- **Key must be as long as plaintext**
 - ▶ Impractical in most realistic scenarios
 - ▶ Still used for diplomatic and intelligence traffic
- **Does not guarantee integrity**
 - ▶ One-time pad only guarantees confidentiality
 - ▶ Attacker cannot recover plaintext, but can easily change it to something else without being detected
- **Insecure if keys are reused**
 - ▶ Attacker can obtain XOR of plaintexts
- **Obviously not practical for all applications**

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Practical Modern Encryption Schemes

- **Most encryption schemes used in practice do not provide perfect secrecy**
 - ▶ **Stream ciphers** try to simulate the OTP based on a small random seed
 - ▶ **Block cipher** encrypt complete blocks of plaintexts instead of single bits
- **When do we call such encryption schemes secure?**

Computational Security

An encryption scheme is called **computationally secure** if

- ▶ **All known attacks against the cipher are computationally infeasible**
- ▶ I.e., theoretically possible but would take too much time to be practical for any (reasonable) amount of resources

Attacker Models

General assumption in any attack

- ▶ Attacker knows which cipher is used
- ▶ In line with Kerckhoff's principle

Attack result

- ▶ (Partial) key recovery
 - Attacker tries to retrieve (part of) the key
- ▶ (Partial) plaintext recovery
 - Attacker tries to retrieve (part of) the plaintext

Key recovery implies plaintext recovery but not the other way round

Power of attacker



- Strength of attacker increases ↓
- ▶ **Cipher-text-only attack**
 - Attacker knows only ciphertext
 - ▶ **Known-plaintext attack**
 - Knows some pairs of plaintext and ciphertext
 - ▶ **Chosen-plaintext attack** >  **Chapter 4**
 - Can obtain ciphertext for plaintexts of his choice
 - ▶ **Chosen-ciphertext attack** >  **Chapter 4**
 - Can obtain plaintext for ciphertexts of his choice before target ciphertext is known

Illustration of Ciphertext-only Attack

- A classical eavesdropper has access to ciphertext
- Thus, he can collect ciphertext(s) and try to
 - ▶ Recover the key and/or
 - ▶ Recover the plaintext

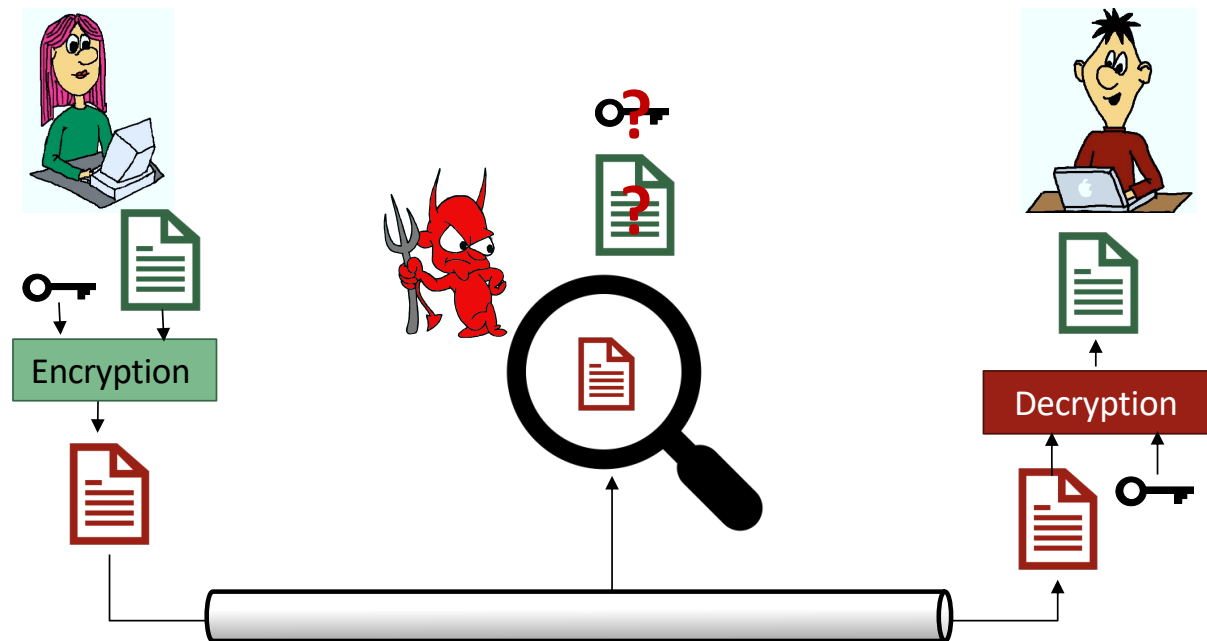
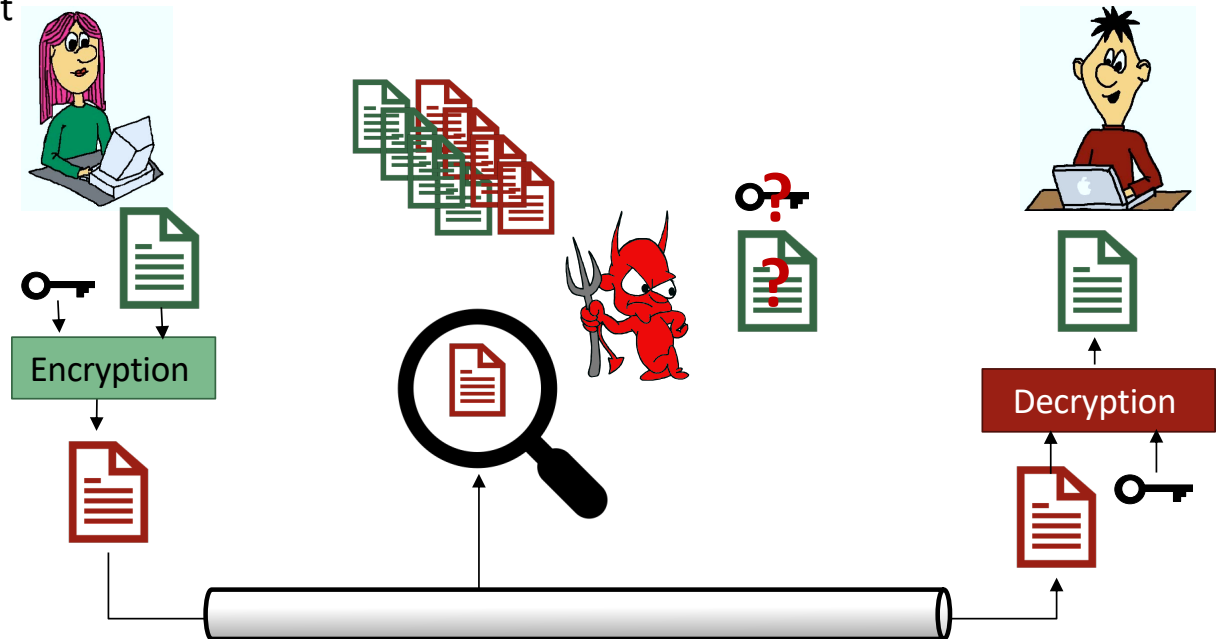


Illustration of Known-Plaintext Attack

- **Attacker observes ciphertext and has access to one or more pair of plaintext and ciphertext**
 - ▶ E.g., as he is able to guess plaintext for some ciphertexts
 - E.g., due to Bob's reaction on receiving the ciphertext
 - ▶ Tries to recover key and/or plaintext

Example:

- ▶ Substitution cipher vulnerable to a known plaintext attack
- ▶ One pair of plaintext / ciphertext sufficient to break (part of) the key



Example: Exhaustive Key Search

- **Try out all possible keys from the key space**
 - ▶ **Ciphertext-only setting**
 - Try out each key to decrypt the ciphertext and check if resulting plaintext **“makes sense”**
 - Only works if valid plaintexts are recognizable for the attacker
 - ▶ **Known-plaintext setting**
 - Try out each key to decrypt the ciphertext
 - Check if it decrypts to the known plaintext
- **Ciphertext-only setting is more difficult for the attacker**
 - ▶ Consequently: being secure against a ciphertext-only attack is easier to achieve
- **Security in a chosen-ciphertext setting is hardest to achieve**

Difficulty of Known-Plaintext Brute Force Attack

- **Difficulty of exhaustive key search is proportional to the key size**

▶ On average attacker will have to try out $\frac{|\mathcal{K}|}{2}$ keys

- **And proportional to the resources of the attacker**

Key Size (bits)	Number of Alternative Keys	Time required at 1 decryption/ μ s	Time required at 10^6 decryptions/ μ s
32	$2^{32} = 4.3 \times 10^9$	$2^{31} \mu\text{s} = 35.8$ minutes	2.15 milliseconds
56	$2^{56} = 7.2 \times 10^{16}$	$2^{55} \mu\text{s} = 1142$ years	10.01 hours
128	$2^{128} = 3.4 \times 10^{38}$	$2^{127} \mu\text{s} = 5.4 \times 10^{24}$ years	5.4×10^{18} years
168	$2^{168} = 3.7 \times 10^{50}$	$2^{167} \mu\text{s} = 5.9 \times 10^{36}$ years	5.9×10^{30} years
26 characters (permutation)	$26! = 4 \times 10^{26}$	$2 \times 10^{26} \mu\text{s} = 6.4 \times 10^{12}$ years	6.4×10^6 years

Other Attack Strategies besides Brute Force and Frequency Analysis

- **Time-memory trade-off**

- ▶ Can be used to accelerate known-plaintext attacks
- ▶ Exploits a trade-off between time, memory and key space size

- **Differential cryptanalysis**

- ▶ Chosen-plaintext attack
- ▶ Attacker tries to recover key using known differences between plaintexts and comparing them to the differences in the ciphertexts

- **Algebraic attacks**

- ▶ Reduces breaking a cipher to solving a system of linear equations with the key bits as unknowns
- ▶ Can work very well in a known-plaintext setting

- **Related key attacks**

- ▶ Chosen-plaintext attack
- ▶ Assumes attacker has access to chosen plaintext encrypted with keys
- ▶ Attacker knows relations between keys

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Stream Ciphers

- **The one-time pad $C = P \oplus K$ is perfectly secure**
 - ▶ If the key is chosen uniformly at random for each P
- **Idea of stream cipher**
 - ▶ Replace K with pseudo-random bit-generator PRBG
 - Seed PRBG with "truly random" key K
 - Include a fresh initialization vector IV for each P
 - ▶ Encryption/Decryption very fast
 - Key stream can be pre-generated
- **The PRNG should be cryptographically secure**
 - ▶ We typically **cannot proof** that a PRBG is cryptographically secure, we assume it is if no attack **is known**

Stream cipher

For each plaintext P select a fresh IV and set $C = E_K(P) = IV \parallel P \oplus \text{PRBG}(IV, K)$. PRBG(IV, K) is also referred to as **key stream**. The same key K is used for multiple plaintexts

A PRBG is said to **be cryptographically secure** iff

There is no polynomial-time algorithm which on input of the first k bits of the output of PRBG can predict the next bit with probability $> \frac{1}{2}$. I.e., it **passes the next bit test**.

General Stream Cipher Weakness

- **If the IV is ever reused with the same key**
 - ▶ Stream ciphers are vulnerable to a known-plaintext attack
- **Why?**
 - ▶ Assume attacker known P_1, C_1
 - As $C_1 = E_K(P_1) = IV \parallel P_1 \oplus \text{PRBG}(IV, K)$ attacker knows IV and $\text{PRBG}(IV, K)$
 - Thus, if IV and K are reused to encrypt P_2 , and attacker observes C_2
 - Then he can decrypt P_2 by $C_2 \oplus IV \parallel \text{PRBG}(IV, K) = 0 \parallel P_2$
- **As, e.g., been used to attack the security architecture WPA2 for WLAN**
 - ▶ Known as **KRACK attack**

Examples for Stream Ciphers

• Well-known insecure stream ciphers

- ▶ RC4
 - Before its break used in WLAN, TLS, ...
- ▶ A5/1, A5/2
 - Supported by GSM (2G mobile networks)
- ▶ E0
 - Supported by old Bluetooth versions
- ▶ ...

• Well-known (yet) unbroken stream ciphers

- ▶ SNOW 3G
 - Supported by 3G/LTE/5G networks
- ▶ CHACHA20
 - Supported by TLS, IPsec,...
- ▶ Unbroken Block ciphers in CTR Mode
 - Supported by LTE/5G networks
 - Supported by TLS, IPsec,...
- ▶ ...

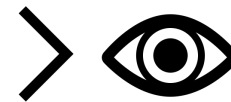
• Any cipher that only provides computational security can break at any point in time

- ▶ We need to be prepared and always ensure that we can easily switch from one cipher to another



Block Ciphers

- **Operate on plaintext blocks of a specific length**
 - ▶ Called the **block length** $b \in \mathbb{N}$ of the cipher
 - ▶ Plaintext space $\mathcal{P} = \{0,1\}^b$ and ciphertext space $\mathcal{C} = \{0,1\}^b$
 - ▶ For each key K in the key space $\mathcal{K} = \{0,1\}^k$, $E_K : \mathcal{P} \rightarrow \mathcal{C}$
- **Typically need to be used in a specific mode of encryption**
 - ▶ Specifies how plaintexts of length $> b$ bits are encrypted



Later in this Chapter

Examples for Block Ciphers

- **Well-known insecure block ciphers**

- ▶ DES
 - Before its break used in IPSec, TLS, ...
- ▶ IDEA
- ▶ ...

- **Well-known (yet) unbroken block ciphers**

- ▶ KASUMI
 - Supported by 3G/LTE/5G networks
- ▶ AES
 - Supported by TLS, IPSec,...
- ▶ Camellia
 - Supported by TLS
- ▶ ...

- **Any cipher can break at any point in time**

- ▶ We need to be prepared and always ensure that we can easily switch from one cipher to another



Example Block Cipher: DES

- **Published in 1977 by the National Bureau of Standards***
 - ▶ Designed by IBM and the NSA
- **Uses a 64-bit key K and a block length of 64 bit**
 - ▶ But: 8 bits of the key are used as parity bits
- **Effective key size is 56 bits**



* called National Institute of Standards and Technology (NIST) since 1988

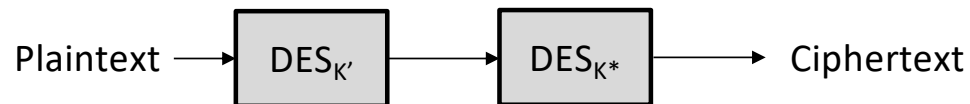
Security of DES

- **January 13th, 1999: DES key broken within 22 hours and 15 minutes**
 - ▶ In a contest sponsored by RSA Labs using
 - ▶ Brute force key search using
 - ▶ the Electronic Frontier Foundation's Deep Crack custom DES cracker ...
 - ▶ ... and the idle CPU time of around 100,000 computers
- **Since then, DES is considered insecure**
- **Biggest weakness still is the key length of 56 bits only!**

First Proposed Fix: 2DES

- **First idea to increase the key size of DES**

- ▶ Use DES twice with two independently chosen keys



- **Problem: this does not double the key size!**

Complexity of the attack:

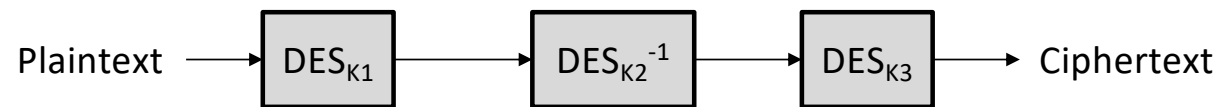
- ▶ $2 \cdot 2^{56} = 2^{57}$ DES operations
- ▶ Effective key size only increased by one!

Meet in the middle attack on 2DES

- ▶ Assume attacker has access to (P, C) , where $C = \text{DES}_{K^*}(\text{DES}_{K'}(P))$
- ▶ Attacker can encrypt P with any possible key (2^{56} DES operations)
 - And thus, create lookup table $E_K(P) = Z_K$ for $K \in \{0,1\}^{56}$ of intermediate ciphertext
- ▶ Attacker can decrypt C with all possible keys (at most 2^{56} DES operations)
 - And compute $D_K(C) = X_K$, $K \in \{0,1\}^{56}$ until $X_{K_i} = Z_{K_j}$ is found in the lookup table
- ▶ Then $K_j = K'$ and $K_i = K^*$ with high probability

3DES = "Triple DES"

- Use DES three times in a row



- Variants

- ▶ 3-key DES: K1, K2, and K3 are pairwise different
 - Provides an effective key size of 112 bit according to NIST
- ▶ 2-key DES: K1 = K3
 - Provides an effective key size of 80 bit according to NIST
- ▶ Both variants use encryption with K1, decryption with K2 and encryption with K3
 - Setting K1=K2=K3 this allows 3DES-only capable senders to communicate with DES-only capable receivers

The Advanced Encryption Standard (AES)

• Goals of the NIST Call for AES

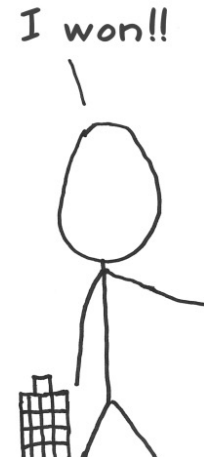
- ▶ More secure than 3DES
- ▶ More efficient than 3DES
- ▶ Support different key lengths
 - 128, 192, and 256 bit
- ▶ The block length of the cipher is 128 bit
 - Regardless of the key length



• Timeline of AES Selection

- ▶ Jan. 1997 NIST-call published
- ▶ Aug. 1998: 15 candidates presented
 - Cast-256, Crypton, DEAL, DFC, E2, Frog, HPC, Loki97, Magenta, MARS, RC6, Rijndael, SAFER+, Serpent, Twofish
 - Broken shortly afterwards (or during presentation)
 - DEAL, Frog, HPC, Loki97, Magenta
- ▶ Aug. 1999 finalists announced
 - MARS, RC6, Rijndael, Serpent, Twofish
- ▶ Oct. 2000 **Rijndael selected as AES**
- ▶ Nov. 2001 AES standardized in FIPS 197

Selection Criteria



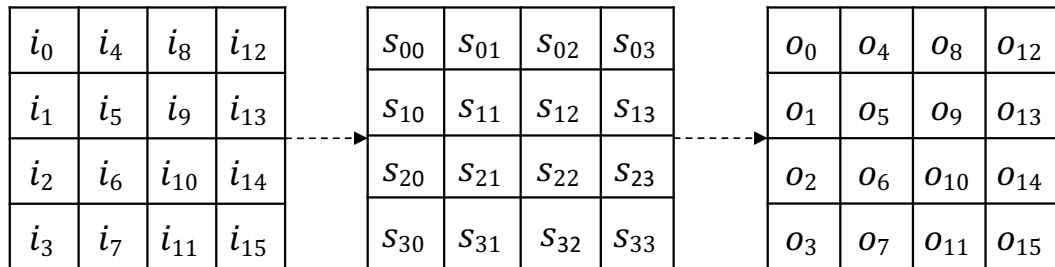
	Rijndael	Serpent	Twofish	MARS	RC6
General Security	2	3	3	3	2
Implementation Difficulty	3	3	2	1	1
Software Performance	3	1	1	2	2
Smart Card Performance	3	3	2	1	1
Hardware Performance	3	3	2	1	2
Design Features	2	1	3	2	1
Total	16	14	13	10	9

Taken from <http://www.moserware.com/2009/09/stick-figure-guide-to-advanced.html>

Structure of AES

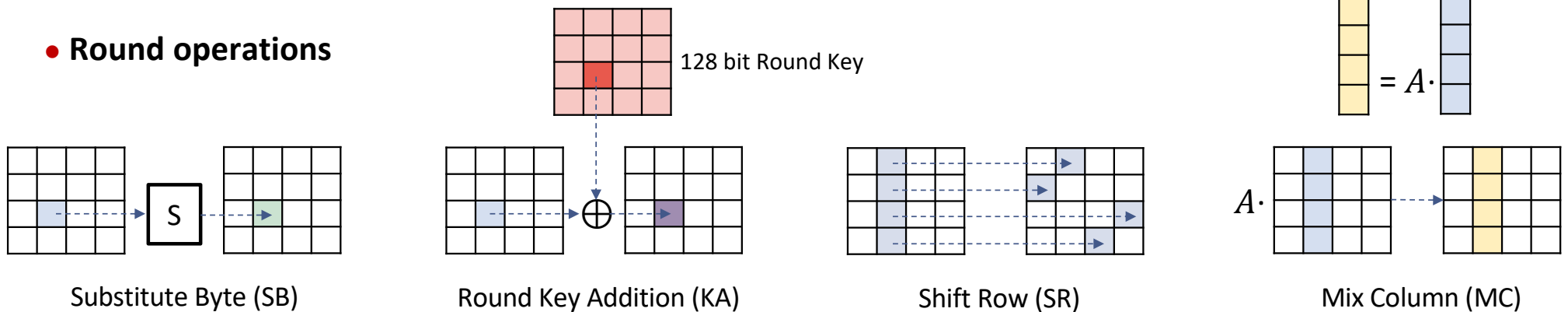
- AES operates in rounds

▶ Input and output of each round represented as 4x4 byte matrices



$$A = \begin{bmatrix} 02 & 03 & 01 & 01 \\ 01 & 02 & 03 & 01 \\ 01 & 01 & 02 & 03 \\ 03 & 01 & 01 & 02 \end{bmatrix}$$

- Round operations



Reminder: Multiplication in $GF(2^8)$ with $x^8 + x^4 + x^3 + x + 1$ as irreducible Polynomial

- For example, (in hex notation) $57 \bullet 83 = c1$ in $GF(2^8)$ because

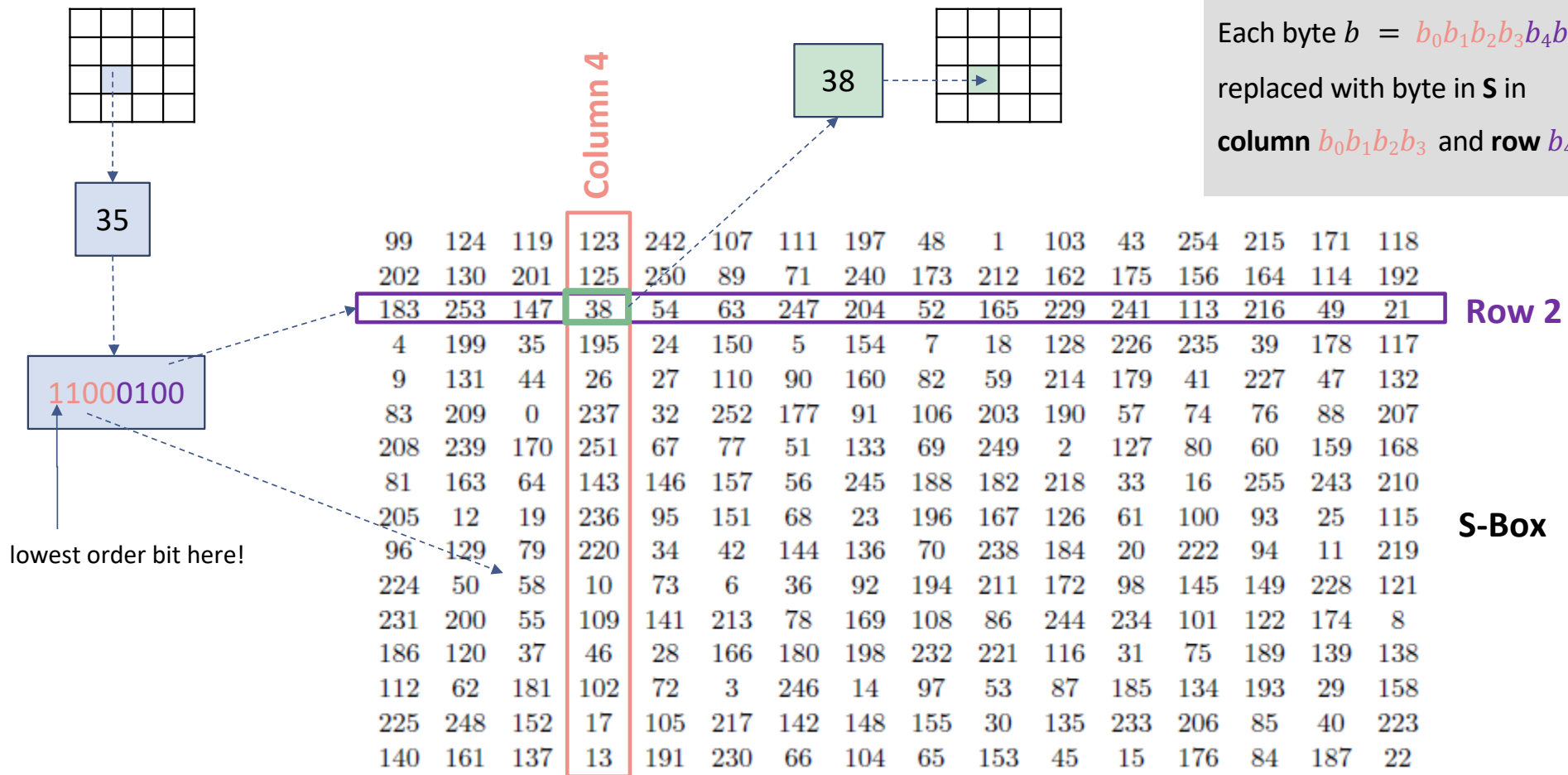
▶ $57 = 01010111 \simeq x^6 + x^4 + x^2 + x + 1$

▶ $83 = 10000011 \simeq x^7 + x + 1$

▶ $(x^6 + x^4 + x^2 + x + 1)(x^7 + x + 1) = x^{13} + x^{11} + x^9 + x^8 + x^7 + x^7 + x^5 + x^3 + x^2 + x + x^6 + x^4 + x^2 + x + 1 = x^{13} + x^{11} + x^9 + x^8 + x^6 + x^5 + x^4 + x^3 + 1$

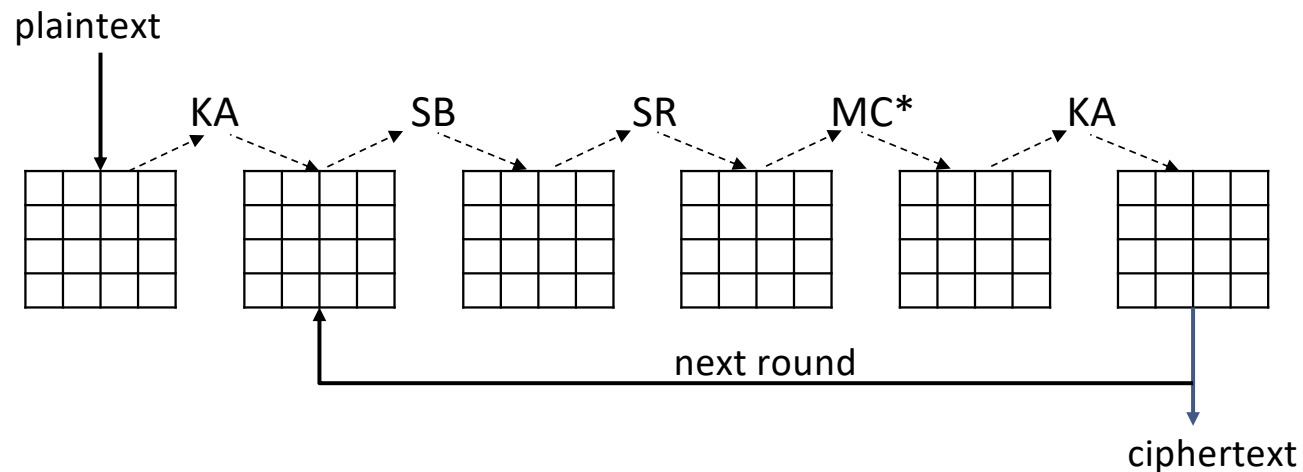
▶ $x^{13} + x^{11} + x^9 + x^8 + x^6 + x^5 + x^4 + x^3 + 1$ modulo $x^8 + x^4 + x^3 + x + 1 = x^7 + x^6 + 1$
 $\simeq 1100\ 0001$
 $= c1$

Substitute Byte (SB)



Each byte $b = b_0b_1b_2b_3b_4b_5b_6b_7$ is replaced with byte in **S** in **column** $b_0b_1b_2b_3$ and **row** $b_4b_5b_6b_7$

AES Operation Overall



- **The round key is always 128 bit key**

- ▶ Different for each round, generated from the secret key

MC*: no mix column operation in the last round

- **Number of rounds depends on the key size**

- ▶ 128 bit key: 10 rounds 192 bit key: 12 rounds 256 bit key: 14 rounds

Modes of Encryption

- **Block ciphers of block length b**

- ▶ Allow us to encrypt a plaintext P of b bit
- ▶ How can we encrypt longer plaintexts?

- **Mode of encryption**


- ▶ Let $P = P_1 \parallel P_2 \parallel P_3 \parallel P_4 \parallel \dots \parallel P_n$
with $P_i \in \{0, 1\}^b$ for $i = 1, \dots, n - 1$
and $P_n \in \{0, 1\}^l$ for some $0 < l \leq b$
- ▶ A mode of encryption specifies how to encrypt plaintext P based on a b bit block cipher $E_K(\cdot)$

- **Modes we cover here**

- ▶ Electronic Code Book (ECB) mode
- ▶ Cipher Block Chaining (CBC) mode
- ▶ Counter Mode (CTR)

- **Modes we may cover in exercises**

- ▶ Cipher Feedback Mode (CFB)
- ▶ Output Feedback Mode (OFB)

- **AEAD Modes**  **Chapter 3**

- ▶ Authenticated Encryption with Associated Data (AEAD) Modes
 - E.g., Galois Counter Mode (GCM)

Electronic Codebook Mode (ECB)

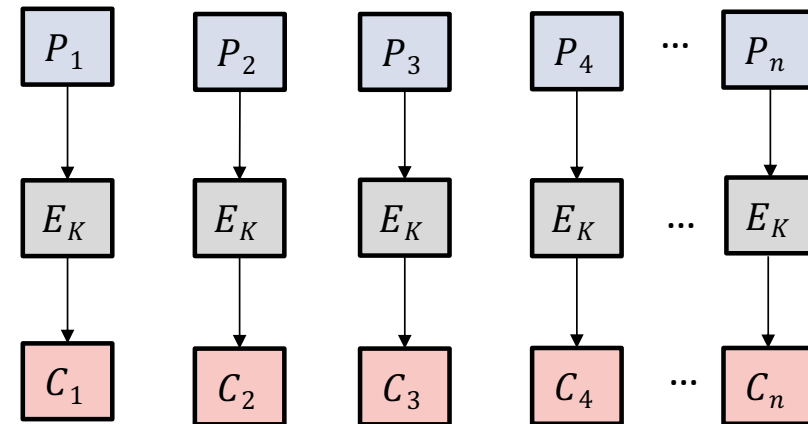
ECB Mode

Encryption: $C_i = E_k(P_i)$ for $i = 1, \dots, n$

Decryption: $P_i = D_k(C_i)$ for $i = 1, \dots, n$

Requires **padding** of P_n to b bit

Illustration of encryption in ECB Mode



• Problem

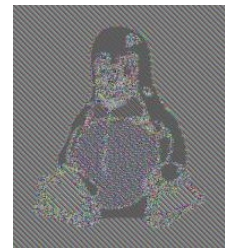
- ▶ Same P_i leads to same C_i
- ▶ Thus, patterns in plaintext lead to patterns in ciphertext
- ▶ **ECB mode should not be used!**



Plaintext



ECB-encrypted



Cipher Block Chaining Mode (CBC)

CBC Mode

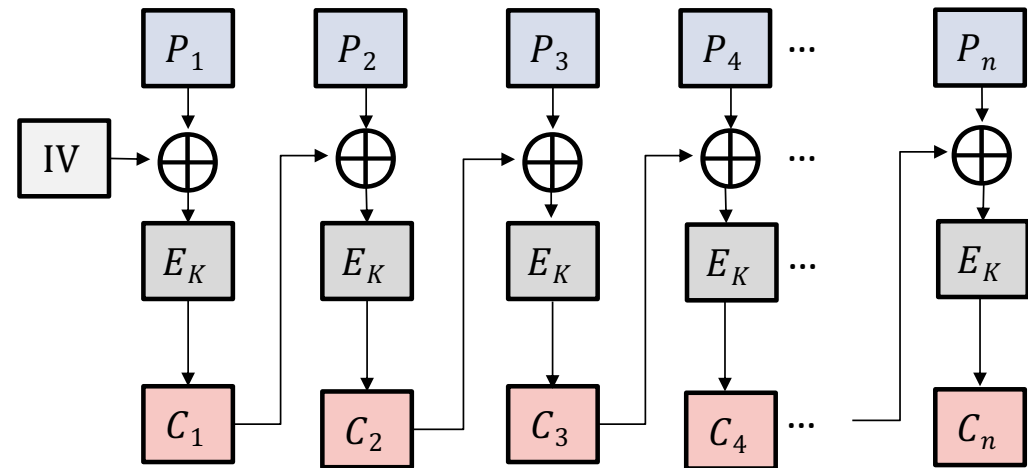
IV := C_0

Encryption: $C_i = E_K(P_i \oplus C_{i-1})$ for $i = 1, \dots, n$

Decryption: $P_i = D_K(C_i) \oplus C_{i-1}$ for $i = 1, \dots, n$

Requires **padding** of P_n to b bit

Illustration of encryption in CBC Mode



- Requires a fresh IV for each plaintext to encrypt



- ▶ If same IV is reused on P and P^*
 - then C_1 and C_1^* reveal, whether $P_1 = P_1^*$

- ▶ Is **vulnerable** to a so-called **padding-oracle attack** > Should not be used anymore

Counter Mode (CTR)

CTR Mode

IV public, fresh for each plaintext

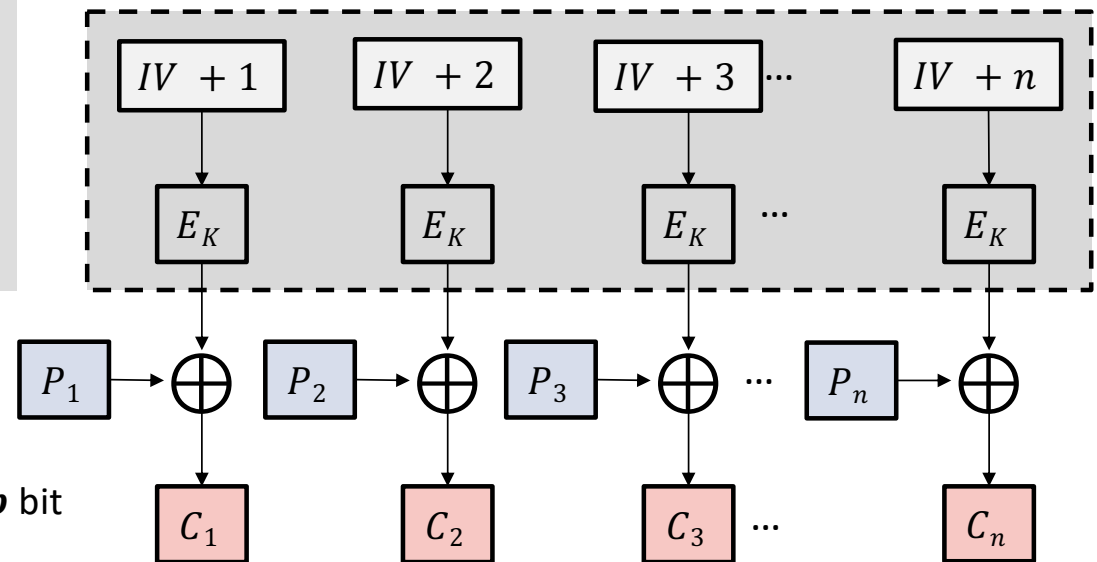
Encryption: $C_i = E_k(IV + i) \oplus P_i$ for $i = 1, \dots, n$

Decryption: $P_i = C_i \oplus E_k(IV + i)$ for $i = 1, \dots, n$

Properties of CTR Mode

- ▶ CTR Mode **does not require padding** of P_n to b bit
- ▶ Ciphertext is of the same size as plaintext
- ▶ CTR Modes **turns a block cipher** into a **stream cipher**
- ▶ CTR mode encryption and decryption can be parallelized

Illustration of encryption in CTR Mode

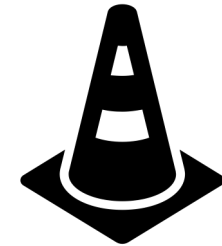


Summary

- **Symmetric Encryption Schemes provide confidentiality**
 - ▶ Require a secret key shared between the communicating entities
- **Perfect secrecy can be obtained by the one-time-pad**
 - ▶ Requires key chosen uniformly at random and as long as the plaintext for each plaintext
 - ▶ Impractical to use in many situations
- **Practical encryption schemes only provide computational security**
 - ▶ **Can in theory always be broken** with a brute force attack in a known plaintext setting
 - Require long keys to make brute force **attack practically impossible**
- **Different attacker models make different assumptions with respect to**
 - ▶ The knowledge of the attacker (ciphertext-only, known plaintext,...)
 - ▶ The goal of the attacker (plaintext recovery, key recovery)
 - ▶ The approach the attacker takes (brute force, frequency analysis, differential analysis...)

Summary

- **Practical symmetric encryption schemes can be divided into**
 - ▶ Stream ciphers, e.g., ChaCha20
 - ▶ Block ciphers, e.g., AES
- **Stream ciphers encrypt a plaintext by xoring it with a key stream**
 - ▶ Key stream is generated by
 - a (longer term) secret key that is reused for multiple plaintext
 - and fresh IV for each plaintext to encrypt
 - ▶ Should never reuse IVs with the same key
- **Block ciphers require the use of a mode of encryption**
 - ▶ Specifies how to encrypt plaintext that are longer than one block-length of the block cipher
 - ▶ These modes have a strong influence of the security of the encryption scheme
 - Used with in an insecure mode, a secure block cipher may become insecure
 - ▶ The effective key size of a block cipher cannot be doubled by applying the cipher twice



References

- **More details on symmetric encryption**

- ▶ Johannes Buchmann, Einführung in die Kryptographie, 6. Auflage, Springer Verlag 2016
 - Kapitel 3 - Kapitel 6
- ▶ W. Stallings, Cryptography and Network Security: Principles and Practice, 8th edition, Pearson 2022
 - Chapters 3, 4, 6, and 7

- **Standard Documents**

- ▶ FIPS 197: Advanced Encryption Standard
 - <https://nvlpubs.nist.gov/nistpubs/FIPS/NIST.FIPS.197-upd1.pdf>
- ▶ FIPS 46-3: Data Encryption Standards (DES)
 - <https://csrc.nist.gov/files/pubs/fips/46-3/final/docs/fips46-3.pdf>